

天利（盧森堡）  
Société d'Investissement à Capital Variable  
註冊辦事處：31, Z.A. Bourmicht, L-8070 Bertrange  
Grand Duchy of Luxembourg  
R.C.S. Luxembourg B 50 216  
（「**SICAV**」）

## 天利（盧森堡）- 全球焦點基金

（「**組合基金**」）

### 致股東通知書

#### 重要事項

此乃重要文件，務須閣下即時垂注。如閣下對本文件的內容有任何疑問，應尋求獨立專業意見。

如閣下已出售或轉讓閣下於天利（盧森堡）的股份，請立即將本文件交予買方或承讓人，或經手出售或轉讓的股票經紀、銀行或其他代理人，以便盡快轉交買方或承讓人。

**SICAV** 董事會願就本通知書所載資料的準確性承擔責任。

親愛的股東：

**重要資訊：**修訂組合基金的投資政策以加強推動可持續金融披露規例（「**SFDR**」）下第 8 條所指的環境及社會特徵。

由於閣下為組合基金的投資者，我們現致函通知閣下 **SICAV** 的董事會（「**董事會**」）現正修訂組合基金的投資政策以加強推動環境及社會特徵。

經修訂的投資政策及 **SFDR** RTS 附錄（有關可持續金融披露規例的監管技術標準）將由 2024 年 9 月 2 日（「**生效日期**」）起採納。

任何詞彙如於本函件未有特別界定，請參閱香港銷售文件內的定義。

#### 更改的內容是甚麼？

組合基金已透過將一系列負責任投資措施整合至其投資決策過程，以及確保組合基金所投資的公司遵循良好管治實踐來推動環境及社會特徵。

由生效日期起，負責管理組合基金的代理顧問將提高組合基金承諾持有可持續投資的最低比例，進一步詳情載於 **SFDR** RTS 附錄。

可持續投資的現時及經修訂最低比例載於下表：

組合基金	現時在可持續投資的資產的最低比例	由生效日期起在可持續投資的最低比例
天利（盧森堡）- 全球焦點基金	5%	20%

## 我們為甚麼要作出此等更改？

在推行 SFDR 後，投資者越益要求投資基金將推動環境及社會特徵納入至其投資過程。此等更改加強已由組合基金作出的具約束力的承諾，並提高對 MiFID II（歐盟金融工具市場指令及金融工具市場規例 - 統稱 MiFID II）下具有若干可持續性偏好的投資者的適用性。

股東應注意，我們預期此等對投資政策作出的修訂不會導致組合基金目前的管理方式或其風險概況產生重大變化。

## 本人需要採取甚麼行動？

閣下無需因此等更改而採取任何行動，有關更改將於生效日期自動生效。

## 倘本人不同意有關更改，本人可以怎麼辦？

股東可藉於 2024 年 8 月 30 日下午 3 時正（盧森堡時間）前向認可代理分銷商提交書面要求，以免費贖回其在組合基金的股份或將其股份轉換為 SICAV 獲香港證監會認可的另一組合基金的股份。該等贖回或轉換要求將根據香港銷售文件按照一般方式處理。證監會之認可並非對 SICAV 或其組合基金的推薦或認許，亦不是對 SICAV 或其組合基金的商業利弊或其表現作出保證。證監會之認可並不意味 SICAV 或其組合基金適合所有投資者，亦不是認許 SICAV 或其組合基金適合任何特定投資者或投資者類別。有關進一步詳情，請參閱 SICAV 及其組合基金的香港銷售文件。若股東不採取任何行動，其將於有關更改生效後把其股份保留在組合基金內。

## 其他資料

現有的香港銷售文件、SICAV 的組織章程細則及最新的年度及半年度報告將於正常辦公時間內在香港代表的辦事處及網站 [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com)<sup>1</sup> 可供免費索取。SFDR RTS 附錄的已更新版本將反映上文詳載的更改，將於適當時候在網站 [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com)<sup>1</sup> 可供免費索取（僅備有英文版）。

閣下如就本通知書有任何其他疑問，請聯絡香港代表 – 滙豐機構信託服務（亞洲）有限公司，地址為香港皇后大道中 1 號（收件人：過戶代理），電郵：[ifshkbfaxishkrep@hsbc.com.hk](mailto:ifshkbfaxishkrep@hsbc.com.hk)；電話：(852) 3663 5500；傳真：(852) 3409 2697。或者，如需進一步協助，請諮詢閣下的財務顧問。請注意，我們無法提供財務或稅務意見。

董事會

謹啟

2024 年 7 月 31 日

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<sup>1</sup> 請注意，此網站並未經證監會審閱。該網站並非特別為香港居民而設，其可能載有並未經證監會認可且不可在香港作公開發售的基金之資料。

**Columbia Threadneedle (Lux) I**  
Société d'Investissement à Capital Variable  
Registered Office: 31, Z.A. Bourmicht, L-8070 Bertrange  
Grand Duchy of Luxembourg  
R.C.S. Luxembourg B 50 216  
(the “SICAV”)

**NOTICE TO THE SHAREHOLDERS OF**  
**CT (Lux) GLOBAL FOCUS**  
**(“THE PORTFOLIO”)**

**IMPORTANT**

**This document is important and requires your immediate attention. If you are in any doubt about the content of this document, you should seek independent professional advice.**

**If you have sold or transferred your Shares in Columbia Threadneedle (Lux) I, please pass this document at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.**

**The board of directors of the SICAV accept responsibility for the accuracy of the information contained in this notice.**

31 July 2024

Dear Shareholder,

**Important information: Amendment to the investment policy of the Portfolio to strengthen the promotion of environmental and social characteristics, within the meaning of Article 8 under the Sustainable Finance Disclosure Regulation (“SFDR”).**

As you are an investor in the Portfolio, we are writing to inform you that the Board of Directors of the SICAV (the “**Board**”) is amending the investment policy of the Portfolio to strengthen the promotion of environmental and social characteristics.

The amended investment policy and SFDR RTS Annex (Regulatory Technical Standards relating to the Sustainable Finance Disclosure Regulation) will be adopted from 2 September 2024 (the “**Effective Date**”).

For any capitalised terms that are not specifically defined within this letter, please refer to the definition in the Hong Kong offering documents.

**What is changing?**

The Portfolio already promotes environmental and social characteristics by integrating a range of responsible investment measures into the investment decision-making process, as well as ensuring that the companies in which the Portfolio invests follow good governance practices.

From the Effective Date, the Sub-Advisor responsible for the management of the Portfolio will increase the minimum proportion that the Portfolio commits to holding sustainable investments, as further detailed in the SFDR RTS Annex.

The current and revised minimum proportion of sustainable investments are set out in the following table:

<b>Portfolio</b>	<b>Current minimum proportion of assets in sustainable investments</b>	<b>Minimum proportion in sustainable investments from the Effective Date</b>
CT (Lux) Global Focus	5%	20%

### **Why are we making these changes?**

Following the introduction of SFDR, investors are increasingly requiring investment funds to embed the promotion of environmental and social characteristics into their investment process. These changes strengthen the binding commitments already being made by the Portfolio, and increase the suitability for investors with certain sustainability preferences under MiFID II (the Markets in Financial Instruments Directive and Markets in Financial Instruments Regulation - collectively known as MiFID II).

Shareholders should note that we do not expect these amendments to the investment policy to result in significant changes to the way in which the Portfolio is currently managed or its risk profile.

### **What do I need to do?**

You do not need to do anything as a result of these changes, which will take effect automatically on the Effective Date.

### **What can I do if I disagree with the changes?**

Shareholders may redeem their Shares in the Portfolio, or exchange their Shares into Shares of an alternative Portfolio of the SICAV which is authorised by the SFC in Hong Kong, free of any charges, by submitting a written request to the Authorised Sub-Distributor until 15.00 Luxembourg time on 30 August 2024. Such redemption or exchange requests will be processed in the normal manner in accordance with the Hong Kong offering documents. The SFC's authorisation is not a recommendation or endorsement of the SICAV or its Portfolios nor does it guarantee the commercial merits of the SICAV or its Portfolios or their performance. It does not mean the SICAV or its Portfolios are suitable for all investors nor is it an endorsement of the suitability of the SICAV or its Portfolios for any particular investor or class of investors. Please refer to the Hong Kong offering documents of the SICAV and its Portfolios for further details. If Shareholders take no action, they will retain their Shares in the Portfolio after the changes become effective.

### **Additional information**

The current Hong Kong offering documents, the articles of association of the SICAV and the most recent annual and semi-annual reports are available free of charge at the office of the Hong Kong Representative during normal business hours and on the website [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com)<sup>1</sup>. The updated version of the SFDR RTS Annex which will reflect the changes detailed above will be available (in English language only) free of charge on the website [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com)<sup>1</sup> in due course.

If you have any other questions regarding this notice, please contact the Hong Kong Representative, HSBC Institutional Trust Services (Asia) Limited, at 1 Queen's Road Central, Hong Kong, Attention: Transfer Agency, email: [ifshkbfaxishkrep@hsbc.com.hk](mailto:ifshkbfaxishkrep@hsbc.com.hk), tel: (852) 3663 5500, fax: (852) 3409 2697. Alternatively, for further assistance, please speak to your financial adviser. Please note that we are unable to provide financial or tax advice.

Yours faithfully,

The Board

<sup>1</sup> Please note that this website has not been reviewed by the SFC. The website is not specifically directed to Hong Kong residents and may contain information with respect to non-SFC authorised funds of the SICAV which are not available for public offer in Hong Kong.